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Long-Term Outcome of Lobectomy for Thyroid Cancer

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Keywords

 $Lobectomy \cdot Thyroid\ cancer \cdot Cancer\ recurrence \cdot Thyroid\ reoperation \cdot Papillary\ thyroid\ cancer$

Abstract

Introduction: Recent guidelines of the American Thyroid Association (ATA) suggest that a lobectomy may be sufficient to treat low- to intermediate-risk patients with thyroid tumors ≤40 mm, without extrathyroidal extension or lymph node metastases. The present study aimed to evaluate long-term recurrence after lobectomy for differentiated thyroid cancer and to analyze factors associated with recurrence. Methods: In this retrospective cohort study, patients who underwent a lobectomy for thyroid cancer in a tertiary center between 1970 and 2010 were included. The outcome was the proportion of pathology-confirmed thyroid cancer recurrence, assessed in the whole cohort or in subgroups ac-

cording to tumor size (≤ or >40 mm). *Results:* A total of 295 patients were included, and these were followed-up for a mean (standard deviation, SD) 19.1 (7.8) years (5,649 patientyears); 61 (20.7%) were male and the mean (SD) age at diagnosis was 39.7 (12) years. Histological subtype was papillary in 263 (89.2%) patients and mean cancer size was 22.9 (16.9) mm. According to the 2015 ATA guidelines, 271 (91.9%) cancers had a low risk of recurrence and 24 (8.1%) an intermediate risk. A reoperation was performed in 54 patients (18.3%) and recurrence was confirmed in 40 (13.6%), diagnosed for 55% of cases more than 10 years after their initial surgery. Among recurrent patients, 14 (4.8% of the cohort) were operated for a contralateral papillary thyroid microcarcinoma and 26 (8.8% of the cohort) for a locoregional or metastatic recurrence. Non-suspicious nodular recurrences were monitored without reoperation in 53 (18.0%) patients. At the end of follow-up, 282 (95.6%) patients were in remission. Tumors with locoregional or metastatic recurrence were more fre-



quent among tumors with aggressive histology (19.2 vs. 4.1%, p = 0.015) and of intermediate risk category (28.6 vs. 7.1%, p = 0.018). Tumors >40 mm, which would have been treated by thyroidectomy according to the 2015 ATA guidelines criteria, were found in 34 (11.5%) patients and were associated with a higher frequency of recurrence (20.6 vs. 7.3%, p = 0.024) and less remission (85.3 vs. 96.9%, p = 0.001). Conclusion: The outcome of thyroid cancer treated by lobectomy is very good, particularly for cancer ≤40 mm. A prolonged follow-up is required due to the risk of late recurrence. © 2020 European Thyroid Association

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Introduction

Thyroid cancer is the most common endocrine malignancy, and its incidence globally has increased over the last 30 years [1, 2]. Papillary thyroid cancer (PTC) represents more than 90% of all thyroid cancer cases and is the most indolent form of the disease [3]. Prognosis is excellent as the 20-year survival is over 90% [4]. Risk factors are histology, extent of disease, and size of the tumor, and more than two-thirds of patients have low-risk cancer [5, 6]. The mainstay of PTC treatment is surgical resection which allows removal of the tumor, perform histology, and classify patients (4–5). Lobectomy was performed in our center mostly during the eighties and early nineties. Total thyroidectomy, which has been associated with increased overall survival [7] and lower risk of recurrence [8, 9] in some studies, then became the treatment of choice. However, it is also followed by hypothyroidism and there is a risk of surgical complications such as hypoparathyroidism or recurrent laryngeal nerve paralysis, which can affect patients' quality of life [10]. Currently, this practice is being questioned as a number of cohort studies have suggested that thyroid lobectomy may be sufficient in low- to intermediate-risk patients, the extent of initial thyroid surgery having no impact on diseasespecific survival for these patients [11–16]. Contrary to previous guidelines which indicated lobectomy for small (<1 cm), unifocal, intrathyroidal, node-negative, low-risk tumors [17], the 2015 American Thyroid Association (ATA) guidelines have allowed to perform lobectomy in low- to intermediate-risk patients (patients with unifocal tumors <4 cm, and no evidence of extrathyroidal extension or lymph node metastases upon examination or imaging) [5]. However, this is still controversial, as a recently published systematic review found a small but significantly higher recurrence rate after lobectomy than

thyroidectomy for low-risk well-differentiated thyroid cancer [18], and more data are needed for intermediaterisk tumors [19–21]. This confirms that the long-term prognosis of those tumors still needs to be assessed. The aims of the present study were to describe the recurrence rate and long-term outcomes of patients who underwent a lobectomy for differentiated thyroid cancer, for all patients or according to tumor size (≤ or >40 mm), and to analyze factors associated with recurrence.

Material and Methods

Patient Selection and Follow-Up

This was a retrospective cohort study of consecutive patients who underwent a thyroid lobectomy for differentiated thyroid cancer, between 1970 and 2010 in a tertiary endocrinology center. Data from a hospital database were used for this study. Inclusion criteria were a documented histology, at least one cervical ultrasound performed during the follow-up and a follow-up of >5 years for those without recurrence. Patients with postoperative thyroid completion, anaplastic thyroid cancer, or medullary thyroid cancer were excluded. Histological subtypes of thyroid cancer were separated into non-aggressive forms that include classic PTC, follicular PTC variant, and well-differentiated follicular thyroid cancer versus aggressive forms that include poorly differentiated carcinoma, diffuse sclerosing PTC variant, solid/trabecular PTC variant, and Hürthle (oncocytic) thyroid cancer. Patient and tumor characteristics, such as tumor size and TNM, based on the seventh edition of American Joint Committee on Cancer/Union for International Cancer Control TNM classification system, were reported into the database and all thyroid cancers were retrospectively categorized using the 2015 ATA guidelines as being at low, intermediate, or high risk of recurrence [5]. All patients over the study period were to be followed at least each year following surgery by clinical examination and laboratory tests. Monitoring procedures changed over the study period and thyroglobulin was to be measured regularly since 1990. Ultrasounds were initially performed at the discretion of the attending physician, while in recent years ultrasounds were to be performed annually during the first 5 years or if abnormalities and every 3-5 years thereafter. All patients were to receive levothyroxine postoperatively; the objective was to obtain a TSH in accordance with the guidelines in place at that time. As TSH and thyroglobulin assay techniques evolved over time, these parameters were interpreted according to standards in place. Thyroglobulin was considered stable in the absence of plasma concentration doubling within 2 years. Reoperations were performed if thyroid nodules or cervical lymph nodes were discovered or increased in size, along with a suspicious ultrasound result and positive or suspicious fine needle cytology aspiration finding. In case of reoperations, a lymph node dissection was performed only if a metastatic lymph node was suspected. Radioactive iodine treatment was added if cancer recurrence was confirmed.

Outcome

The primary outcome was the proportion of pathology-confirmed thyroid cancer recurrence. Two analyses were carried out:

Table 1. Patient and tumor characteristics in the total cohort (n = 295) and according to recurrence

	Total population $n = 295$	Recurrence		p value*	Locoregional or metastatic recurrence (excluding contralateral micro-PTC)		p value*
		no $n = 255$	yes $n = 40$		no n = 269	yes n = 26	
Gender							
Male	61	50 (82.0)	11 (18.0)	0.349	53 (86.9)	8 (13.1)	0.282
Female	234	205 (87.6)	29 (12.4)		216 (92.3)	18 (7.7)	
Age at surgery, mean (SD), years	39.8 (12)	39.9 (11.9)	38.9 (13.0)	0.618	39.7 (12.0)	40.6 (12.7)	0.716
Histological subtype							
PTC	263	227 (86.3)	36 (13.7)	0.533	241 (91.6)	22 (8.4)	0.302
FTC	29	26 (89.7)	3 (10.3)		26 (89.7)	3 (10.3)	
Oncocytic	3	2 (66.7)	1 (33.3)		2 (66.7)	1 (33.3)	
Prognosis of histological subtype							
Non-aggressive	279	244 (87.5)	35 (12.5)	0.141	258 (92.5)	21 (7.5)	0.015
Aggressive	16	11 (68.8)	5 (31.2)		11 (68.8)	5 (31.2)	
Tumor size, mean (SD), mm	22.9 (16.9)	22.6 (16.6)	25.1 (18.7)	0.383	22.4 (16.6)	28.9 (18.8)	0.059
T staging ^a							
T1	145	123 (84.8)	22 (15.2)	0.014	134 (92.4)	11 (7.6)	0.026
T2	110	102 (92.7)	8 (7.3)		103 (93.6)	7 (6.4)	
T3	40	30 (75.0)	10 (25.0)		32 (80.0)	8 (20.0)	
Unifocal cancer	226	196 (86.7)	30 (13.3)	0.92	205 (90.7)	21 (9.3)	0.802
Risk of recurrence ^b							
Low	271	237 (87.5)	34 (12.5)	0.162	251 (92.6)	20 (7.4)	0.011
Intermediate	24	18 (75.0)	6 (25.0)		18 (75.0)	6 (25.0)	
Follow-up time, years, mean (SD)	19.15 (7.76)	18.97 (7.52)	20.27 (9.17)	0.327	18.91 (7.48)	21.65 (10.02)	0.085

Data reported as counts (percentages in line) unless otherwise specified. SD, standard deviation; PTC, papillary thyroid cancer; FTC, follicular thyroid cancer; ATA, American Thyroid Association; AJCC/UICC, American Joint Committee on Cancer/Union for International Cancer Control. * p value calculated using Student's t test for quantitative data and χ^2 test for qualitative data. ^a Staging according to the seventh edition of the AJCC/UICC TNM classification system. ^b Risk of recurrence categorized using the 2015 ATA guidelines.

either all recurrences were taken into account (recurrence group) or only a subset of patients excluding those whose recurrence was a contralateral micro-PTC. This second group included only patients with locoregional recurrence (lymph nodes or/and operated thyroid bed) or metastasis. Secondary outcome was the final status of patients: remission, persistent disease, or undetermined status. Patients were considered to be in remission if, after >5 years of follow-up, no signs of recurrence were found, or if there were no signs of recurrence during follow-up in reoperated patients with benign histology, or after >5 years of remission in reoperated patient with recurrence; patients with monitored thyroid nodule or cervical lymph node but without suspicious characteristics on ultrasound, with negative fine needle cytology aspiration results and a stable thyroglobulin were also classified in the remission group. Patients with recurrence were considered to have persistent disease in cases of persistence of a local or metastatic suspicious structural element (structural persistent disease) or in case of positive thyroglobulin (biochemical persistent disease) in the absence of localizable disease. Patients were considered to have undetermined status if, after a recurrence, the follow-up period was <5 years.

Statistics

Quantitative data are presented as mean and standard deviation and qualitative data are presented as count and percentage (%). For the bivariate analysis, continuous variables were compared between patients with and without thyroid cancer recurrence/locoregional or metastatic recurrence (excluding micro-PTC), and between tumors \leq 40 and >40 mm using Student's t test, while categorical variables were compared using the χ^2 test. To evaluate the association between the patient/tumor characteristics and the probability to have a locoregional or metastatic thyroid cancer recurrence, multivariate logistic regression analysis was conducted. Two-sided p values <0.05 were considered as significant.

Ethical Considerations

The study was approved by the institutional ethics committee (*Comité d'Éthique du CHU de Lyon, no.* 16-01) in accordance with French legislation in place at the time of the study.

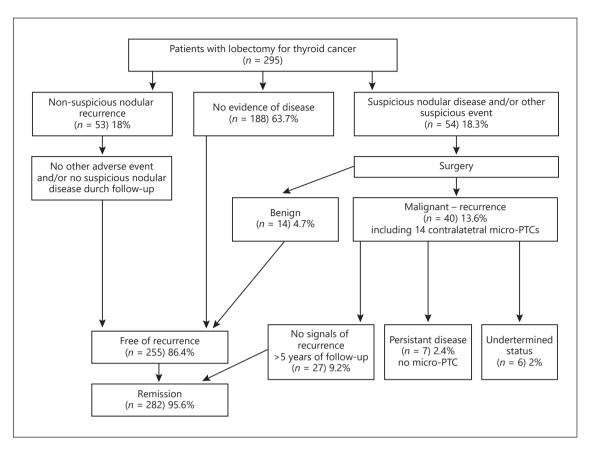


Fig. 1. Outcome of the 295 patients who underwent thyroid lobectomy between 1970 and 2010. PTC, papillary thyroid cancer.

Results

Population Characteristics

A total of 372 patients underwent a thyroid lobectomy for differentiated thyroid cancer between 1970 and 2010. Among these, 41 had short follow-up (<5 years), 15 had incomplete histology, and 21 had missing ultrasounds. Therefore, a total of 295 patients were included in the study. Median year of surgery was 1989 (range: 1971-2010). A minority (20.7%) of patients were male and the mean age at surgery was 39.8 (12) years. After lobectomy, a recurrent laryngeal nerve paralysis was found in 5 (1.7%) patients. Regarding thyroid cancer characteristics, 263 (89.2%) were PTC, the mean cancer size was 22.9 (16.9) mm, and 226 (76.6%) were unifocal. According to the 2015 ATA guidelines, 271 (91.9%) thyroid cancers had low risk of recurrence, and 24 (8.1%) had an intermediate risk of recurrence. No cancer had a high risk of recurrence (Table 1).

Follow-Up

Patients were followed-up for a mean of 19.1 (7.8) years, representing 5.649 patient-years. Overall, 87.2% of patients were followed for at least 10 years, and 46.1% for at least 20 years. Among the 295 patients, 255 were free of recurrence. Fifty three (18.0%) patients were monitored for non-suspicious nodular recurrence in the contralateral lobe, while a thyroid reoperation was performed for 54 patients, representing a reoperation rate of 18.3%. Among the 54 patients with reoperation, recurrence was confirmed following pathology analysis in 40 patients (13.6% of the cohort), including 14/40 (4.8% of the cohort) patients operated for contralateral micro-PTC and 26/40 (8.8% of the cohort) patients operated for a locoregional or metastatic recurrence. Among reoperated patients, 14 (4.8% of the cohort) had a benign histology, representing 25.9% of all reoperations. At the end of follow-up, 282 (95.6%) patients were in remission and a persistent disease was present in 7 (2.4%) patients (Fig. 1), including 1 patient who died from thyroid cancer. This

Table 2. Multivariate analysis of preoperative factors that may influence the occurrence of a true recurrence

	b (estimate)	SE (estimate)	OR	p value
Gender				
Female	0		1	0.281
Male	0.504	0.467	1.655	
Age at surgery, years	0.007	0.018	1.007	0.717
Histological subtype				
Non-papillary	0		1	0.867
Papillary	0.112	0.668	1.118	
Cancer focality				
Multifocal	0		1	0.714
Unifocal	0.195	0.531	1.215	
Risk of recurrence ^a				
Low	0		1	0.020
Intermediate	1.372	0.591	3.944	
Follow-up time, years	0.041	0.027	1.042	0.130

SE, standard error; OR, odds ratio; ATA, American Thyroid Association. ^a Risk of recurrence categorized using the 2015 ATA guidelines.

patient was initially operated for a poorly differentiated follicular thyroid cancer of 55 mm, with an intermediate risk of recurrence.

Characteristics of Recurrences

When taking into account all recurrences, patients were operated after a mean of 13.5 (8.8) years following their first intervention; 7/40 (17.5%) during the first 5 years, 11/40 (27.5%) between 5 and 10 years, and 22/40 (55%) after 10 years. Among patients operated more than 10 years after their first intervention, 7/22 were operated for a contralateral micro-PTC and 15/22 for a locoregional or a metastatic recurrence. Nineteen (47.5%) patients had an effective hormone replacement therapy treatment; TSH was undetectable at the time of recurrence. In univariate analysis, the only significant difference between patients with and without recurrence (including patients with contralateral micro-PTC) was the distribution of the pT staging (p = 0.014) with a higher number of pT3 tumors among recurrent patients (10/40 = 25% vs. 30/255 = 11.8%). Among the 40 patients with recurrence, 26 were not contralateral micro-PTC, 23 (88.5%) being locoregional, and 3 (11.5%) metastatic. Compared to patients without locoregional or metastatic recurrence, the tumors of those with locoregional or metastatic recurrence were more often aggressive (5/26 = 19.2% vs.)11/269 = 4.1%, p = 0.015) and at intermediate risk according to the 2015 ATA guidelines (6/26 = 23.1%) vs. 18/269 = 6.7%, p = 0.011). In patients with locoregional

or metastatic recurrence compared to the others, distribution of the pT staging was significantly different (p = 0.026) with a higher number of pT3 tumors (8/26 = 30.8% vs. 32/269 = 11.9%) and a trend towards a larger mean tumor size (28.9 vs. 22.4 mm, p = 0.059) was found (Table 1). In multivariate analysis, the only factor significantly associated with locoregional or metastatic recurrence was the risk of recurrence according to the 2015 ATA guidelines (p = 0.02, Table 2).

Outcomes Assessment after Revision of the Type of Surgery Indicated according to the 2015 ATA Criteria

Among the 295 patients who underwent a thyroid lobectomy between 1970 and 2010, 34 (11.5%), with a tumor size >40 mm, would have had a thyroidectomy instead of a lobectomy according to the 2015 ATA guidelines criteria. Compared to those with a smaller tumor, those with a tumor >40 mm had a higher reoperation rate (11/34 = 32.4% vs. 43/261 = 16.5%, p = 0.044) and had a greater number of recurrences (9/34 = 26.5% vs. 31/ 261 = 11.9%, p = 0.038) as well as locoregional or metastatic recurrences (7/34 = 20.6% vs. 19/261 = 7.3%, p =0.024). No metastasis was found among patients with a tumor size ≤40 mm. At the end of the follow-up, the duration of which did not differ significantly between the 2 groups, 29/34 (85.3%) patients with a tumor size >40 mm were in remission compared to 253/261 (96.9%) patients with a smaller tumor (p = 0.001, Table 3).

Table 3. Outcome evaluation depending on the initial tumor size

	Total population	Tumor size	p value*	
	n = 295	≤40 mm n = 261	>40 mm n = 34	
Duration of follow-up, mean (SD), years	19.15 (7.76)	19.11 (7.62)	19.44 (8.90)	0.815
Reoperation	54	43 (79.6)	11 (20.4)	0.044
Recurrence	40	31 (77.5)	9 (22.5)	0.038
Type of recurrence				
Locoregional	23	19 (82.6)	4 (17.4)	0.004
Metastasis	3	0 (0.0)	3 (100.0)	
Contralateral micro-PTC	14	12 (85.7)	2 (14.3)	
Locoregional or metastatic recurrence	26	19 (73.1)	7 (26.9)	0.024
Final status		, ,	` ,	
Undetermined	6	5 (83.3)	1 (16.7)	0.001
Persistent disease	7	3 (42.9)	4 (57.1)	
Remission	282	253 (89.7)	29 (10.3)	

Data reported as counts (percentages in line) unless otherwise specified. SD, standard deviation; PTC, papillary thyroid cancer. * p value calculated using Student's t test for quantitative data and χ^2 test for qualitative data.

Discussion

The present study, based on a large number of cases of differentiated thyroid cancers treated by thyroid lobectomy, found a very good outcome after lobectomy after a long follow-up. Furthermore, after distinguishing patients according to current ATA guidelines and thus tumor size, outcome was even better for tumors ≤40 mm.

The recurrence rate and locoregional and metastatic recurrence rate herein were slightly higher than that previously reported after lobectomy, ranging from 4.2 to 7.1% [11-13, 22, 23]. This could be explained by the fact that the cohort is older than other studies [11-13] and that preoperative assessment of thyroid cancers was probably less reliable at the beginning of the study period, ultrasonography being less sensitive than currently. The present study also has a very long follow-up period compared to some other studies [11, 12, 22, 23], which allowed us to detect late recurrences. The high number of late recurrences found herein, more than half of patients being reoperated more than 10 years after initial diagnosis, demonstrates that a long period of follow-up is needed. While some retrospective cohorts were composed of only well differentiated low-risk PTC [13, 22, 23], the present study also included patients of intermediate risk of recurrence, with aggressive histological subtype, and with cancer >40 mm. Recurrence rate was higher in tumors >40 mm. This finding supports current guidelines, as patients with tumors >40 mm or those classified in the ATA intermediate

risk category after pathology results would likely have benefited from a thyroidectomy or would undergo a thyroid completion following current criteria. However, it is important to note that a certain number of lobectomies may have been performed for Noninvasive Follicular Thyroid Neoplasm with Papillary-like nuclear Features instead of follicular PTC variant. This recently identified entity, which is to be distinguished from thyroid cancer, is known to be of excellent prognosis and could artificially decrease the recurrence rate after lobectomy observed in the present study and other studies [24, 25].

Herein, 40% of recurrences were contralateral micro-PTCs, and all were in remission at the end of follow-up. Micro-PTCs are known to have a low evolutionary potential with a very good prognosis and could benefit from active surveillance instead of surgery [4, 26, 27]. Their incidence is increasing as they are often found fortuitously, leading to an overdiagnosis of thyroid cancers [4, 28-30]. In line with the present results, contralateral carcinomas have been previously found in a third of patients operated for thyroid cancer and predominantly consisted of micro-PTCs independently of primary tumor subtype [31]. Such contralateral micro-PTCs as those described herein could thus be fortuitous discoveries favored by the close follow-up of patients. Another hypothesis is that, PTCs being often multifocal [32, 33], micro-nodules could have been present in the contralateral lobe at the time of diagnosis, but might have gone undetected due to the lower sensitivity of the ultrasound technique used for

part of the study period. Concerning multifocal cancers, a higher rate of those was reported in previous studies than that observed on pathology analysis herein [32, 33]. This could be due to an underestimation of the bilateral multifocal cancer when performing lobectomies as well as an evolution of the pathology analysis technique which is now based on a higher number of samples per lobe [34].

It has been reported than the overall survival of lowrisk patients who underwent lobectomy was similar to that of patients with thyroidectomy [15, 16, 35–37]. The very low mortality rate found herein is consistent with previous findings [13, 14, 37], and this even though the present study included patients who would currently be managed by thyroidectomy, such as the only patient reported in the present study who died of his thyroid cancer. Overall, this supports the current guidelines suggesting that for patients with low- to intermediate risk and tumor ≤40 mm, the extent of initial thyroid surgery likely has little impact on disease-specific survival [5]. Thyroidectomy, followed by radioactive iodine therapy, is known to facilitate monitoring through the surveillance of thyroglobulin [17]. However, the use of thyroglobulin as a guide for cancer recurrence after lobectomy has been previously reported [38,39] and a more recent study has shown that an increase in unstimulated thyroglobulin could help identify cancer recurrence [40]. Lobectomy may be considered as an interesting alternative for selected patients, as fewer postoperative complications are generally expected after this procedure than in a thyroidectomy [10, 16], although a previous review found a similar complication rate for both surgical procedures [41]. However, the realization of a lobectomy requires a regular and prolonged follow-up which can be complicated by non-suspicious nodular recurrences, as found herein in approximately one-fifth of patients. This proportion of non-suspicious nodular recurrences is consistent with the relatively high prevalence of goiter in France, which is favored by insufficient Iodine intake [42-44]. Of note, the potential absence of postoperative hormone supplementation is not a good argument to guide surgical decision since L-thyroxine treatment is also often prescribed after lobectomy, as it was the case herein for all patients; this is currently indicated to supplement hypothyroidism [45], but this was also widely used in the past in France to prevent the occurrence of new nodules or thyroid cancer recurrence [39,46]. In addition, it may be useful to consider the economic impact of the surgical strategy. It has previously been suggested that taking into account long-term followup and treatment of recurrence, total thyroidectomy may be more cost-effective than lobectomy [47].

This single-center study, although retrospective, has the advantage of a long follow-up which allowed studying an important number of consecutive cases treated by lobectomy. However, this long period of study also entails that an important evolution of monitoring procedures occurred between 1970 and 2010. The absence of a control group treated by thyroidectomy did not allow us to compare the outcomes of the 2 surgical procedures. Further studies are needed and the HoT trial, a randomized controlled trial recently funded in the UK and which aims to compare outcomes by extent of thyroid surgery in low-risk differentiated thyroid cancer, will be helpful to refine the results reported herein.

Conclusion

After a proper selection of patients, currently based on the 2015 ATA guidelines, lobectomy shows excellent clinical outcomes. Although it is associated with lower post-operative complications than total thyroidectomy, the risk of contralateral nodular recurrence and late recurrences requiring prolonged follow-up should be taken into account.

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Statement of Ethics

In accordance with French legislation for observational studies in force at the time of the study, patients were informed about the study and were not included if they objected; however, written consent was not required (MR-003). The study was approved by the institutional ethics committee (*Comité d'Éthique du CHU de Lyon, n*°. 16-01).

Conflict of Interest Statement

The authors have nothing to disclose in the area of the study.

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Author Contributions

Conceptualization: Françoise Borson-Chazot, Michel Pugeat, and Matthieu Bosset; methodology: Françoise Borson-Chazot, Matthieu Bosset, and Maxime Bonjour; Statistical analysis: and Maxime Bonjour; writing – original draft preparation: Matthieu

Bosset, Solène Castellnou, and Françoise Borson-Chazot; writing – review and editing: Maxime Bonjour, Zakia Hafdi-Nejjari, Claire Bournaud-Salinas, Myriam Decaussin-Petrucci, Jean-Christophe Lifante, Agnès Perrin, Jean-Louis Peix, Philippe Moulin, Geneviève Sassolas, and Michel Pugeat. All authors have read and agreed to the published version of the manuscript.

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